

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

**In re**

**LEHMAN BROTHERS HOLDINGS INC., et al.,**

## Debtors.

Chapter 11 Case No.

**08-13555 (JMP)**  
**(Jointly Administered)**

**Ref. Docket Nos. 20052, 20059,  
20060, 20473, 20474, 20477, 20481-  
20483, 20487**

**AFFIDAVIT OF SERVICE**

[illegible]

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 4, 2011, I caused to be served the “Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4),” a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

*/s/ Lauren Rodriguez*

Lauren Rodriguez

Sworn to before me this  
7<sup>th</sup> day of October, 2011

*/s/ Cassandra Murray*

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ELLIOTT ASSOCIATES, L.P.  
TRANSFEROR: BARCLAYS BANK PLC  
ATTN: MICHAEL STEPHAN  
712 5TH AVENUE, 35TH FL  
NEW YORK NY 10019

Please note that your claim # 58980-05 in the above referenced case and in the amount of  
\$1,582,058.00 Unliquidated has been transferred (unless previously expunged by court order)

ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: ELLIOT GREENBERG/RAJAT BOSE  
712 FIFTH AVENUE, 35TH FLOOR  
NEW YORK NY 100129

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 20059 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/04/2011

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 4, 2011.

**EXHIBIT B**

TIME: 14:30:39  
DATE: 10/04/11

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address
ALSTON INVESTMENTS LLC	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: ELLIOT GREENBERG/RAJAT BOSE 712 FIFTH AVE., 35TH FLOOR NEW YORK NY 10019
ALSTON INVESTMENTS LLC	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: ELLIOT GREENBERG/RAJAT BOSE 712 FIFTH AVENUE, 35TH FLOOR NEW YORK NY 100129
ASHTON INVESTMENTS LLC	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. C/O ELLIOTT MANAGEMENT CORPORATION 712 FIFTH AVENUE, 35TH FLOOR ATTN: ELLIOT GREENBERG/RAJAT BOSE NEW YORK NY 10019
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: BARCLAYS BANK PLC ATTN: MICHAEL STEPHAN 712 5TH AVENUE, 35TH FL NEW YORK NY 10019
ELLIOTT INTERNATIONAL, L.P.	C/O ELLIOT MANAGEMENT CORPORATION 715 5TH AVENUE 35TH FLOOR NEW YORK NY 10019
JPMORGAN CHASE BANK, N.A.	TRANSFEROR: BANK HAPOALIM (SWITZERLAND) LTD. C/O J.P. MORGAN SECURITIES INC. MAIL CODE: NY1-M138 383 MADISON AVENUE, 37TH FLOOR NEW YORK NY 10179
THE VARDE FUND IX, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
THE VARDE FUND IX-A, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
THE VARDE FUND VI-A, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
THE VARDE FUND X (MASTER), L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
THE VARDE FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 ATTN: EDWINA P.J. STEFFER MINNEAPOLIS MN 55437

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